

Truist Investment Services, Inc. - Held NMS Stocks and Options Order Routing Public Report

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3rd Quarter, 2023

July 2023

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 87.05 | 0.32 | 12.28 | 0.35 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|--|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities (CDRG) | 29.71 | 33.32 | 24.59 | 3.65 | 43.48 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Virtu Americas, LLC (NITE) | 19.91 | 22.45 | 8.74 | 1.94 | 22.22 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Fidelity CrossStream ATS (XSTM) | 13.59 | 15.46 | 35.52 | 0.00 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| G1 Execution Services, LLC (ETMM) | 11.98 | 13.47 | 13.11 | 1.07 | 19.81 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Jane Street Capital, LLC (JNST) | 11.94 | 13.56 | 10.38 | 0.72 | 0.48 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE New York Stock Exchange, Inc (NYSE) | 6.31 | 0.00 | 4.37 | 51.68 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NADAQ Execution Services, LLC (INET) | 4.55 | 0.00 | 1.64 | 37.26 | 0.48 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Two Sigma Securities (SOHO) | 1.14 | 1.25 | 0.55 | 0.08 | 9.18 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| UBS Securities LLC (UBSS) | 0.44 | 0.48 | 0.55 | 0.06 | 4.35 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| CBOE EDGX U.S. Equities Exchange (EDGX) | 0.43 | 0.00 | 0.55 | 3.55 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Virtu Americas, LLC (NITE):

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July 2023

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 81.53 | 0.95 | 17.23 | 0.28 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities (CDRG) | 25.94 | 30.90 | 20.90 | 2.28 | 57.67 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|--|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Fidelity CrossStream ATS (XSTM) | 19.57 | 23.52 | 43.78 | 0.00 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Virtu Americas, LLC (NITE) | 17.21 | 20.63 | 13.87 | 1.02 | 31.29 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Jane Street Capital, LLC (JNST) | 10.36 | 12.51 | 9.19 | 0.42 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| G1 Execution Services, LLC (ETMM) | 10.34 | 12.45 | 8.29 | 0.45 | 11.04 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NADAQ Execution Services, LLC (INET) | 6.52 | 0.00 | 0.90 | 37.74 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE ARCA (ARCA) | 4.23 | 0.00 | 1.08 | 24.47 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE New York Stock Exchange, Inc (NYSE) | 3.68 | 0.00 | 1.26 | 21.27 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| CBOE EDGX U.S. Equities Exchange (EDGX) | 1.08 | 0.00 | 0.36 | 6.22 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| CBOE BZX U.S. Equities Exchange (BATS) | 1.06 | 0.00 | 0.36 | 6.13 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

Material Aspects:

Citadel Securities (CDRG):

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CBOE EDGX U.S. Equities Exchange (EDGX):

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CBOE BZX U.S. Equities Exchange (BATS):

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July 2023

Options Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 24.51 | 7.29 | 68.21 | 0.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities (CDRG) | 43.29 | 50.50 | 52.40 | 39.75 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Wolverine Execution Svc. (WEXX) | 21.58 | 1.42 | 0.96 | 30.99 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| DASH FINANCIAL LLC (DFIN) | 15.01 | 5.67 | 5.29 | 19.39 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Susquehanna International Group, LLP (SUSQ) | 11.57 | 35.04 | 27.40 | 1.48 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Morgan Stanley (MSCO) | 5.00 | 5.11 | 13.46 | 4.07 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE ARCA (ARCA) | 1.04 | 0.85 | 0.00 | 1.22 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Chicago Board Options Exchange (CBOE) | 0.94 | 0.28 | 0.00 | 1.27 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| The Nasdaq Stock Market LLC (NSDQ) | 0.69 | 0.57 | 0.00 | 0.81 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| CBOE BZX U.S. Equities Exchange (BATS) | 0.63 | 0.43 | 0.00 | 0.76 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Global Execution Brokers, LP (GEBB) | 0.24 | 0.14 | 0.48 | 0.25 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

Material Aspects:

Citadel Securities (CDRG):

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Wolverine Execution Svc. (WEXX):

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Susquehanna International Group, LLP (SUSQ):

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Morgan Stanley (MSCO):

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Chicago Board Options Exchange (CBOE):

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The Nasdaq Stock Market LLC (NSDQ):

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Global Execution Brokers, LP (GEBB):

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August 2023

S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 87.89 | 0.33 | 11.42 | 0.35 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities (CDRG) | 30.23 | 33.60 | 22.79 | 3.73 | 45.13 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Virtu Americas, LLC (NITE) | 20.23 | 22.69 | 15.35 | 1.16 | 19.47 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

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|--|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Jane Street Capital, LLC (JNST) | 13.93 | 15.67 | 13.02 | 0.84 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Fidelity CrossStream ATS (XSTM) | 11.82 | 13.32 | 29.30 | 0.00 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| G1 Execution Services, LLC (ETMM) | 11.38 | 12.70 | 7.44 | 0.90 | 21.68 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE New York Stock Exchange, Inc (NYSE) | 6.09 | 0.00 | 3.72 | 53.91 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NADAQ Execution Services, LLC (INET) | 4.03 | 0.00 | 3.26 | 35.67 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Two Sigma Securities (SOHO) | 1.26 | 1.40 | 0.47 | 0.06 | 6.64 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| UBS Securities LLC (UBSS) | 0.49 | 0.51 | 1.40 | 0.10 | 7.08 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| CBOE EDGX U.S. Equities Exchange (EDGX) | 0.41 | 0.00 | 0.00 | 3.64 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

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August 2023

Non-S&P 500 Stocks

Summary

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|--|---|---|---|--|
| 100.00 | 83.92 | 0.89 | 14.74 | 0.44 |

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|--|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities (CDRG) | 26.42 | 30.29 | 23.30 | 2.32 | 47.92 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Fidelity CrossStream ATS (XSTM) | 19.37 | 22.41 | 43.37 | 0.00 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Virtu Americas, LLC (NITE) | 17.58 | 20.38 | 13.11 | 0.71 | 20.45 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Jane Street Capital, LLC (JNST) | 12.22 | 14.23 | 8.74 | 0.55 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| G1 Execution Services, LLC (ETMM) | 9.92 | 11.46 | 7.12 | 0.59 | 14.06 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NADAQ Execution Services, LLC (INET) | 4.73 | 0.03 | 1.46 | 33.76 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE ARCA (ARCA) | 4.11 | 0.00 | 0.49 | 29.53 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE New York Stock Exchange, Inc (NYSE) | 3.59 | 0.01 | 1.46 | 25.69 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Two Sigma Securities (SOHO) | 1.09 | 1.17 | 0.97 | 0.04 | 17.57 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| CBOE EDGX U.S. Equities Exchange (EDGX) | 0.96 | 0.02 | 0.00 | 6.80 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Fidelity CrossStream ATS (XSTM):

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Virtu Americas, LLC (NITE):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Jane Street Capital, LLC (JNST):

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G1 Execution Services, LLC (ETMM):

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NADAQ Execution Services, LLC (INET):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

NYSE ARCA (ARCA):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

NYSE New York Stock Exchange, Inc (NYSE):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Two Sigma Securities (SOHO):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

CBOE EDGX U.S. Equities Exchange (EDGX):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

August 2023

Options Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 27.80 | 8.12 | 64.07 | 0.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities (CDRG) | 42.21 | 48.08 | 37.70 | 40.23 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Wolverine Execution Svc. (WEXX) | 19.65 | 0.86 | 0.66 | 30.22 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| DASH FINANCIAL LLC (DFIN) | 15.26 | 8.91 | 7.87 | 18.95 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Susquehanna International Group, LLP (SUSQ) | 11.37 | 29.98 | 26.56 | 1.37 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Morgan Stanley (MSCO) | 6.84 | 4.69 | 26.56 | 5.28 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE ARCA (ARCA) | 1.52 | 2.39 | 0.00 | 1.33 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Chicago Board Options Exchange (CBOE) | 1.25 | 1.44 | 0.00 | 1.33 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| CBOE BZX U.S. Equities Exchange (BATS) | 1.20 | 1.53 | 0.00 | 1.21 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| The Nasdaq Stock Market LLC (NSDQ) | 0.64 | 2.11 | 0.00 | 0.08 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Global Execution Brokers, LP (GEBB) | 0.05 | 0.00 | 0.66 | 0.00 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Wolverine Execution Svc. (WEXX):

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DASH FINANCIAL LLC (DFIN):

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Susquehanna International Group, LLP (SUSQ):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Morgan Stanley (MSCO):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

NYSE ARCA (ARCA):

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Chicago Board Options Exchange (CBOE):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

CBOE BZX U.S. Equities Exchange (BATS):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

The Nasdaq Stock Market LLC (NSDQ):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Global Execution Brokers, LP (GEBB):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

September 2023

S&P 500 Stocks Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 90.08 | 0.23 | 9.30 | 0.38 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|--|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Virtu Americas, LLC (NITE) | 40.01 | 43.52 | 35.83 | 6.51 | 20.81 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Citadel Securities (CDRG) | 20.97 | 22.78 | 15.83 | 2.63 | 37.06 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Jane Street Capital, LLC (JNST) | 10.33 | 11.36 | 5.83 | 0.72 | 0.51 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| G1 Execution Services, LLC (ETMM) | 10.11 | 11.07 | 10.00 | 0.83 | 8.63 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Fidelity CrossStream ATS (XSTM) | 8.88 | 9.77 | 29.17 | 0.00 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE New York Stock Exchange, Inc (NYSE) | 4.31 | 0.00 | 0.83 | 46.79 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NADAQ Execution Services, LLC (INET) | 3.62 | 0.00 | 0.83 | 39.36 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Two Sigma Securities (SOHO) | 1.05 | 1.03 | 0.00 | 0.11 | 28.93 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| UBS Securities LLC (UBSS) | 0.38 | 0.40 | 0.00 | 0.02 | 4.06 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| CBOE EDGX U.S. Equities Exchange (EDGX) | 0.28 | 0.00 | 0.00 | 3.03 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Pulse Trading (PULX) | 0.06 | 0.07 | 1.67 | 0.00 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

Material Aspects:

Virtu Americas, LLC (NITE):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Jane Street Capital, LLC (JNST):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

G1 Execution Services, LLC (ETMM):

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Fidelity CrossStream ATS (XSTM):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

NYSE New York Stock Exchange, Inc (NYSE):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

NADAQ Execution Services, LLC (INET):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Two Sigma Securities (SOHO):

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UBS Securities LLC (UBSS):

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CBOE EDGX U.S. Equities Exchange (EDGX):

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Pulse Trading (PULX):

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September 2023

Non-S&P 500 Stocks

Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 85.76 | 0.96 | 12.92 | 0.36 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---------------------------------|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Virtu Americas, LLC (NITE) | 35.22 | 39.30 | 31.62 | 6.75 | 24.75 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|--|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities (CDRG) | 19.97 | 22.19 | 18.29 | 3.20 | 55.45 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Fidelity CrossStream ATS (XSTM) | 14.26 | 16.09 | 35.43 | 0.00 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| G1 Execution Services, LLC (ETMM) | 9.50 | 10.78 | 5.33 | 0.65 | 12.38 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Jane Street Capital, LLC (JNST) | 9.46 | 10.79 | 7.24 | 0.43 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NADAQ Execution Services, LLC (INET) | 4.33 | 0.00 | 0.00 | 35.68 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE New York Stock Exchange, Inc (NYSE) | 3.30 | 0.00 | 0.76 | 27.12 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE ARCA (ARCA) | 2.39 | 0.00 | 0.38 | 19.69 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| CBOE EDGX U.S. Equities Exchange (EDGX) | 0.78 | 0.00 | 0.00 | 6.45 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Two Sigma Securities (SOHO) | 0.78 | 0.85 | 0.95 | 0.03 | 7.43 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

Material Aspects:

Virtu Americas, LLC (NITE):

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Citadel Securities (CDRG):

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NADAQ Execution Services, LLC (INET):

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NYSE New York Stock Exchange, Inc (NYSE):

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NYSE ARCA (ARCA):

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CBOE EDGX U.S. Equities Exchange (EDGX):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Two Sigma Securities (SOHO):

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September 2023

Options Summary

| Non-Directed Orders as % of All Orders | Market Orders as % of Non-Directed Orders | Marketable Limit Orders as % of Non-Directed Orders | Non-Marketable Limit Orders as % of Non-Directed Orders | Other Orders as % of Non-Directed Orders |
|--|---|---|---|--|
| 100.00 | 31.73 | 6.21 | 62.06 | 0.00 |

Venues

| Venue - Non-directed Order Flow | Non-Directed Orders (%) | Market Orders (%) | Marketable Limit Orders (%) | Non-Marketable Limit Orders (%) | Other Orders (%) | Net Payment Paid/Received for Market Orders(USD) | Net Payment Paid/Received for Market Orders(cents per hundred shares) | Net Payment Paid/Received for Marketable Limit Orders(USD) | Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Non-Marketable Limit Orders(USD) | Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares) | Net Payment Paid/Received for Other Orders(USD) | Net Payment Paid/Received for Other Orders(cents per hundred shares) |
|---|-------------------------|-------------------|-----------------------------|---------------------------------|------------------|--|---|--|---|--|---|---|--|
| Citadel Securities (CDRG) | 56.44 | 72.00 | 61.76 | 47.95 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Wolverine Execution Svc. (WEXX) | 14.93 | 0.43 | 2.21 | 23.61 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| DASH FINANCIAL LLC (DFIN) | 11.03 | 5.00 | 1.47 | 15.06 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Susquehanna International Group, LLP (SUSQ) | 7.26 | 17.29 | 16.91 | 1.17 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Morgan Stanley (MSCO) | 4.04 | 2.14 | 13.97 | 4.02 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| NYSE ARCA (ARCA) | 2.09 | 0.86 | 0.74 | 2.85 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| CBOE BZX U.S. Equities Exchange (BATS) | 1.54 | 0.86 | 0.74 | 1.97 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| The Nasdaq Stock Market LLC (NSDQ) | 1.27 | 0.00 | 0.00 | 2.05 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Chicago Board Options Exchange (CBOE) | 1.09 | 0.86 | 0.74 | 1.24 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |
| Global Execution Brokers, LP (GEBB) | 0.32 | 0.57 | 1.47 | 0.07 | 0.00 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 |

Material Aspects:

Citadel Securities (CDRG):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Wolverine Execution Svc. (WEXX):

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DASH FINANCIAL LLC (DFIN):

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Susquehanna International Group, LLP (SUSQ):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

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NYSE ARCA (ARCA):

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CBOE BZX U.S. Equities Exchange (BATS):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

The Nasdaq Stock Market LLC (NSDQ):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Chicago Board Options Exchange (CBOE):

Truist Investment Services, Inc. (TIS) routes all "non-directed orders" to National Financial Services LLC(NFS) pursuant to a fully disclosed clearing agreement; Per agreement with NFS, TIS receives no payment for order flow. The order routing information contained in this report is provided by NFS

Global Execution Brokers, LP (GEBB):

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